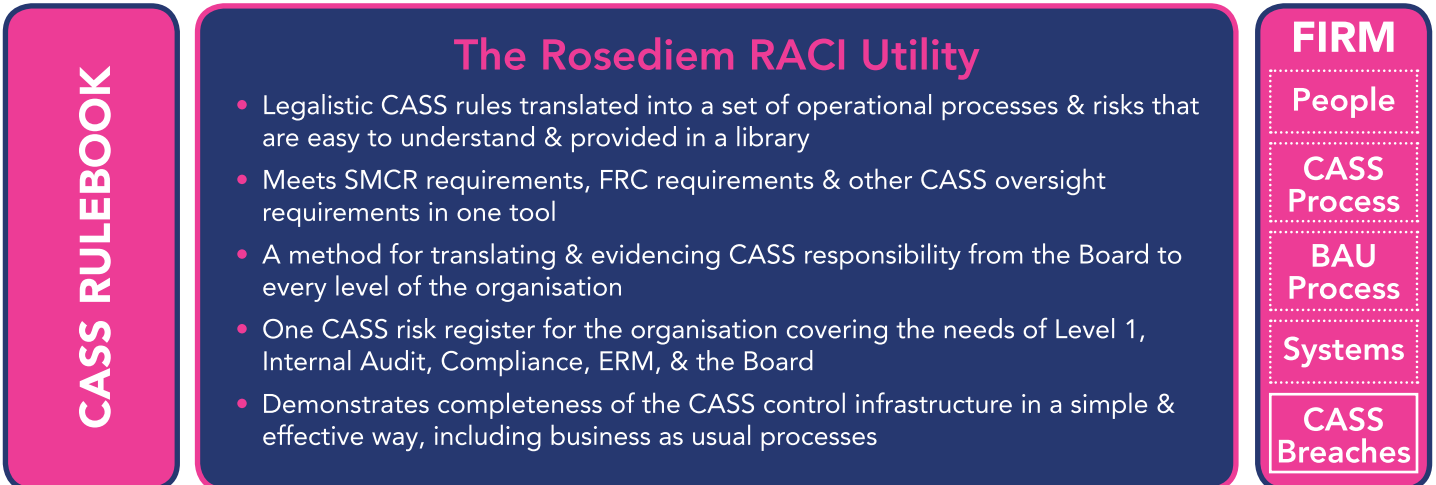


The Rosediem RACI Industry Utility for CASS

Complex regulations translated into clear operational language

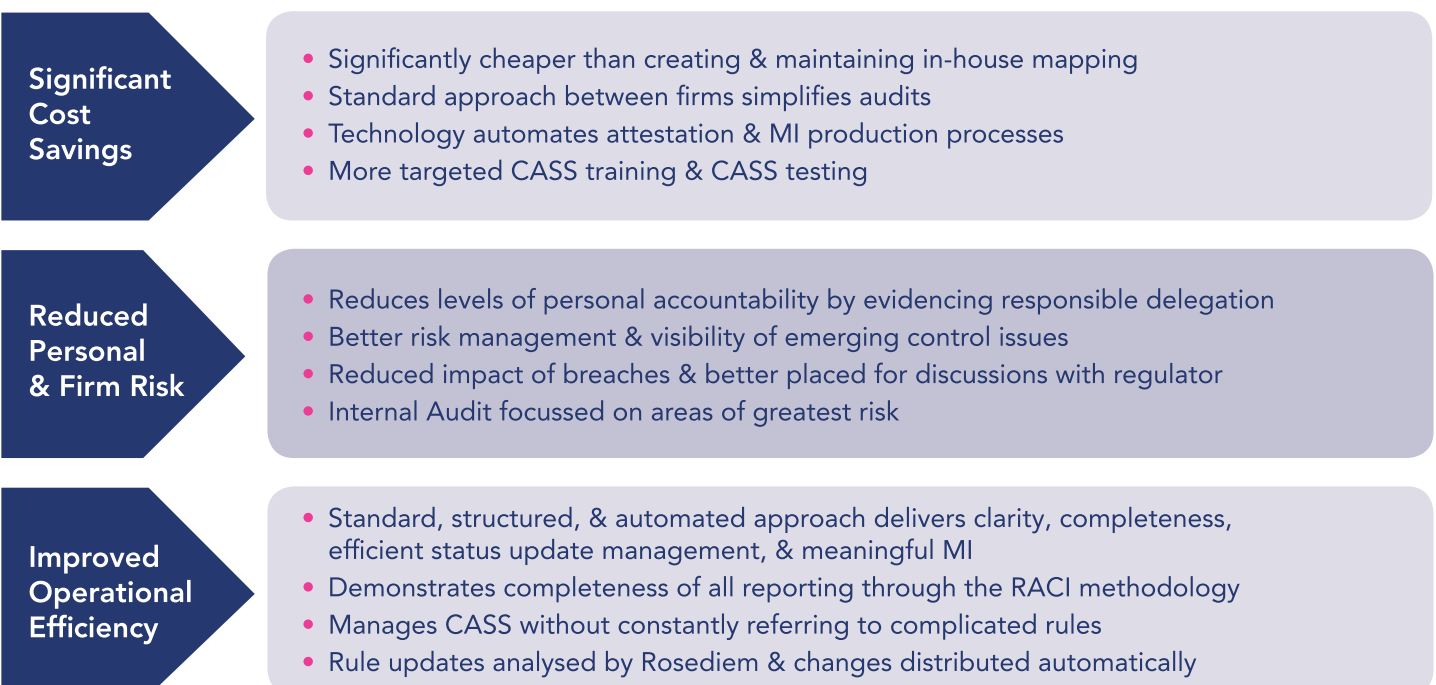
A standard methodology & industry validation lets firms manage their CASS oversight, risk, & SMCR oversight efficiently & consistently



Partner Technology Solutions

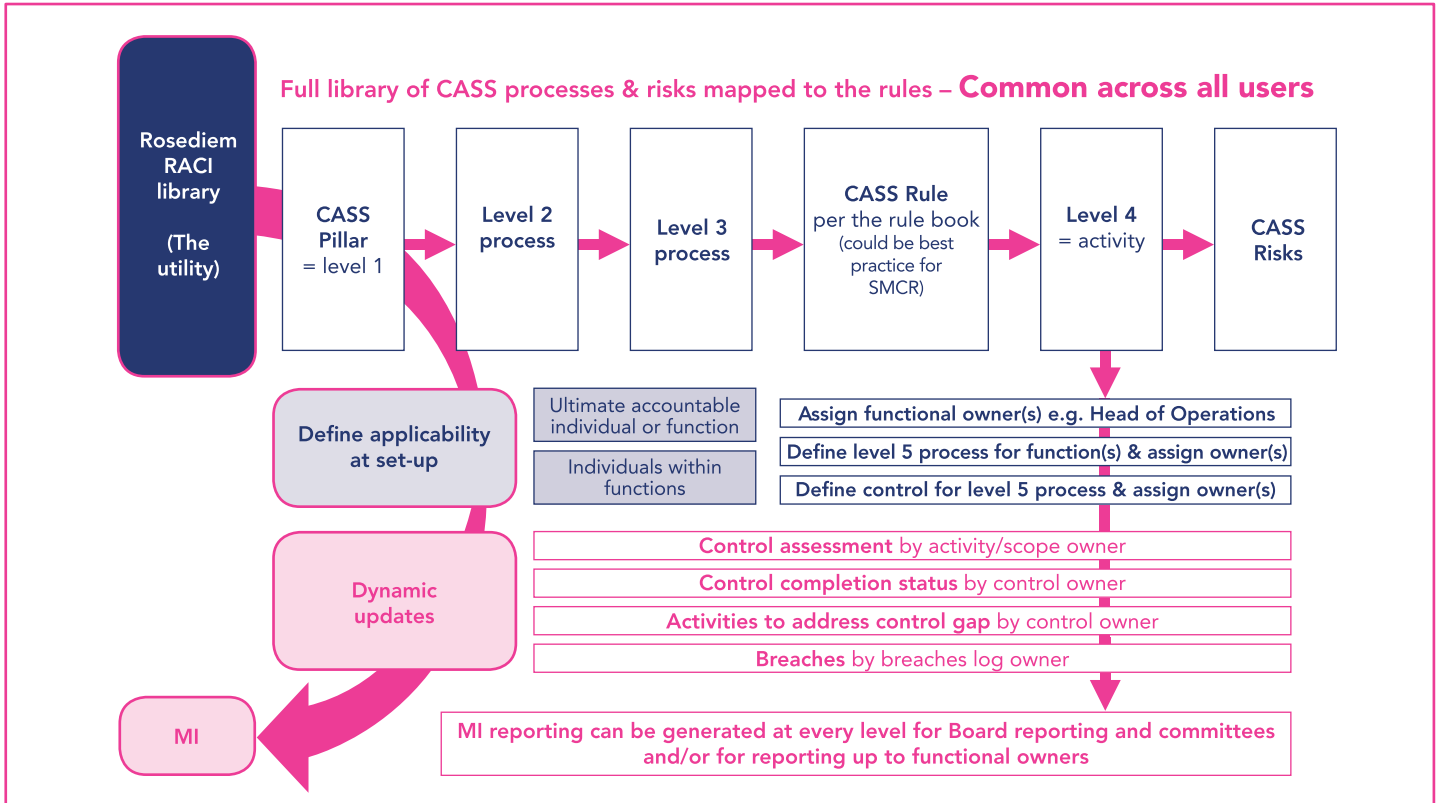
- The RACI provides a methodology for using technology effectively
- Automate the use of the RACI library, the attestation process & management reporting

The benefits of the Rosediem RACI utility solution



How the RACI Utility Works

- Every CASS rule is translated into operational processes & risks using clear, concise day to day business terminology
- These operational processes & risks are organised within 10 Rosediem CASS Pillars to provide a logical, thematic structure to simplify set-up, monitoring & risk management, reporting, & decision making



Process to full implementation



About Rosediem

Rosediem is a leading provider of financial services regulatory solutions. Its focus is on using its deep market knowledge & understanding to deliver practical answers to help firms meet their regulatory challenges.



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